

**ANNEX II**

**DRAFT**

**FRAMEWORK SERVICE CONTRACT**

CONTRACT NUMBER – GSA/NP/07/12

The European GNSS Agency (hereinafter referred to as "GSA"), represented for the purposes of the signature of this contract by Mr Carlo des Dorides, Executive Director,

of the one part,

and

[*official name in full*]

[*official legal form*]

[**statutory registration number**]

[*official address in full*]

[*VAT registration number*]

(hereinafter referred to as "the Contractor"), [represented for the purposes of the signature of this contract by [*forename, surname and function,*]]

of the other part,

**HAVE AGREED**

the **Special Conditions** and the following Annexes and Specific Contract:

- Annex I** – (a) General Conditions  
(b) Model Specific Contract
- Annex II** – Tender Specifications (Invitation to Negotiate No [complete] of [insert date])
- Annex III** – Contractor's Tender (No [complete] of [insert date])
- Annex IV** – Negotiation Minutes
- Annex V** – Mission Rules

which form an integral part of this contract (hereinafter referred to as "the Contract").

- The terms set out in the Special Conditions shall take precedence over those in the other parts of the Contract.
- The terms set out in the General Conditions shall take precedence over those in the model specific contract (Annex I.b)
- The terms set out in the model specific contract (Annex I.b) shall take precedence over those in the other Annexes.
- The terms set out in the Tender Specifications (Annex II) shall take precedence over those in the Tender (Annex III).
- The terms set out in the Contract shall take precedence over those in the specific contracts.
- The terms set out in the specific contracts shall take precedence over those in the Requests for Services.
- The terms set out in the Requests for Services shall take precedence over those in the specific tenders.

Subject to the above, the several instruments forming part of the Contract are to be taken as mutually explanatory. Ambiguities or discrepancies within or between such parts shall be explained or rectified by a written instruction issued by the GSA, subject to the rights of the Contractor under Article I.7 should he dispute any such instruction.

## **I – SPECIAL CONDITIONS**

### **ARTICLE I.1 - SUBJECT**

- I.1.1** The subject of the Contract is the provision of legal support to the GSA.
- I.1.2** Signature of the Contract imposes no obligation on the GSA to purchase. Only implementation of the Contract through specific contracts is binding on the GSA.
- I.1.3** Once implementation of the Contract has commenced, the Contractor shall provide the services in accordance with all terms and conditions of the Contract.
- I.1.4** The Contractor shall provide support to the GSA Legal Department's activities relevant to the scope described as follows:

#### **General legal advice and support**

**Institutional framework:** Advice on the application and interpretation of the GSA regulation and related legal framework, EU regulations, and other provisions applicable to the GSA;

**GSA legal framework:** Advice and support on the preparation, drafting and revision of the GSA legal framework documents (e.g. work programme, rules of procedures...); Advice and support on topics related to the GNSS Security legal Framework, etc.;

**GSA Administrative Board:** Support for the preparation and follow-up of the GSA Administrative Board (including the drafting of GSA Board documents, such as minutes of meeting, summary of decisions...);

**IPR matters:** Advice and support related to IPR issues;

**Other legal matters:** Such as, but not limited to, advice on questions related to liability (with particular focus on GNSS) and to space law matters;

**Dispute resolution:** Support to dispute resolution related to the GSA activities (e.g. procurement matters, HR matters); preparation of the relevant files and support to the interaction with external lawyers specifically in charge with the case. The current assignment will not include the representation of the GSA in law suits / Court cases.

**Support in negotiations:** Advice in negotiations and the preparation of contractual arrangements with public or private counterparties, more particularly but not limited to in the Czech Republic, United Kingdom, France and Belgium.

#### **Specific legal advice related to Procurement and Contracts**

**Tendering process:** Drafting documentation relevant to tenders (and calls for proposals) and provision of advice in relation to the preparation of all legal aspects and corresponding documentation of any call for tender;

**Tender management:** Support in the analysis of questions posed by bidders and the preparation of answers to clarification requests.

**Tender evaluation:** Advice in relation to specific issues that may arise during the administrative, financial and technical evaluation of tenders.

**Tender post-evaluation:** Support in the preparation of evaluation reports and the drafting of notifications/clarifications to successful and unsuccessful candidates.

**Contract related support:** Support in the preparation of final contracts; follow-up advice until the signature of the contract between the parties;

preparation of amendments to contracts; support in legal verification of payment requests; and advice on the legal issues on contract management.

**Non-disclosure agreements:** Support in the preparation and conclusion of non-disclosure agreements (NDA) for classified information; and advice and support in the amendments to NDAs.

**Grant conflict resolution:** Assessment and support in the resolution of disputes related to grants.

**General advice:** Providing advice on specific legal issues as needed in the procurement and contractual fields.

**I.1.5** The Contractor, on the terms set out in the Contract and its Annexes, which form an integral part thereof, undertakes to perform the following tasks:

▪ **Task 1:** fixed on-site support.

1 working day a week, to be provided within GSA premises, shall be the workload for the completion of this task. A working day shall be understood as 8 hours of work to be completed within the GSA usual opening hours (8:00 – 19:00). The exact attendance days and hours shall be agreed on a case-by-case basis

• **Task 2:** flexible support, on a case-by-case basis and on GSA's request, including:

- Task 2.1: presence in the GSA

- Task 2.1.1: Whereas Task 1 provides for fixed on-site support, the GSA foresees that the workload may be increased in case of a temporary lack of internal resources or in peak periods of activity. Therefore, the Contractor shall plan for flexible on-site support, covering additional working days to be provided in GSA's premises.

- Task 2.1.2: The Contractor shall attend specific meetings on a specific request by the GSA.

- Task 2.2: hotline

- Where requested by the GSA, the Contractor shall provide its legal support via phone, e-mail or other electronic means (video-conference, etc.).

- Support is expected every week day during working hours (9:00 – 12:00 and 14:00 – 17:00). In exceptional cases, on GSA's express request, support might be requested beyond the working hours indicated.

**I.1.6** The GSA and the Contractor will set a planning for the work, which will be regularly checked. The agreed timeframe will be binding, and the non- respect will be considered as a failure in the performance of the Contract, in the meaning of Article II.1.9 of Annex I.a (General Conditions).

**I.1.7** The Legal Support shall be provided by the assigned lawyer nominated for the execution of this Contract on the basis of the proposal made by the Contractor, namely: [xxx] (hereinafter "Assigned Legal Adviser(s)").

**I.1.8** On GSA's request, opinions given by the Assigned Legal Adviser(s) in the course of the Contract shall be crosschecked with other senior legal adviser(s) of the Contractor.

**I.1.9** Any replacement of the Assigned Legal Adviser(s) requires the prior written approval of the GSA, which shall not be unreasonably withheld or delayed.

Appropriate requests shall be accompanied by a justification for the proposed change and by a comprehensive CV of the new proposed Assigned Legal Adviser.

- I.1.10** The GSA may, for justified reasons, request the Assigned Legal Adviser(s) to be replaced. Such request shall be presented in writing to the Contractor, who shall, within one week of receiving the notification, propose suitably qualified replacement staff. Failure to do so may lead to immediate termination of the Contract without the Contractor being entitled to any compensation.

## **ARTICLE I.2 - DURATION**

- I.2.1** The Contract shall enter into force [on the date on which it is signed by the last contracting party]<sup>1</sup>.

- I.2.2** Under no circumstances may implementation commence before the date on which the Contract enters into force. Execution of the tasks may under no circumstances begin before the date on which the specific contract enters into force.

- I.2.3** The Contract is concluded for a period of 12 months with effect from the date on which it enters into force. This contractual period and all other periods specified in the Contract are calculated in calendar days unless otherwise indicated.

- I.2.4** The specific contracts shall be returned signed before the Contract to which they refer expires.

The Contract shall continue to apply to such specific contracts after its expiry. They shall be executed no later than [complete]<sup>2</sup>

### **I.2.5 Contract renewal**

The Contract shall be renewed automatically up to three (3) times under the same conditions, unless written notification to the contrary is sent by one of the contracting parties and received by the other at least three months before expiry of the period indicated in Article I.2.3. Renewal does not imply any modification or deferment of neither of the agreed terms and conditions nor of the existing obligations.

- I.2.6** The period of execution of the tasks or the estimated effort may be extended only with the express written agreement of the parties before such period elapses.

## **ARTICLE I.3 – CONTRACT PRICES**

- I.3.1** The maximum amount of the Contract shall be EUR 300,000.00 (three hundred thousand Euros). The maximum prices of the services shall be as listed in Annex II.

The price indicated in the specific contract covers any fees payable to the Contractor in relation to the vesting of rights in the GSA and where applicable the transfer of rights to the GSA and any use of the results by the GSA.

- I.3.2** Prices shall be expressed in EUR.

- I.3.3** Prices shall be fixed and not subject to revision.

---

<sup>1</sup> As a rule the GSA signs last. In this case, the Contractor should be duly informed of the date on which the Contract enters into force (date of signature by the GSA).

<sup>2</sup> The volume of services ordered and the period allowed for execution should be reasonably limited.

**I.3.4** The total fees to be paid by GSA shall be calculated on a daily (Tasks 1 and 2.1.1) or hourly (Tasks 2.1.2 and 2.2) fixed rate basis:

- Assigned Legal Adviser: the hourly fee for the services of [xxx] shall be EUR xxx (xxx Euros).
- Assigned Legal Adviser: the daily fee for the services of [xxx] shall be EUR xxx (xxx Euros).
- Senior Legal Adviser(s): the hourly fee shall be EUR xxx (xxx Euros).

**I.3.5** Within the limits of Article I.3.1 and without prejudice to Annex II, expenses shall be compensated as follows:

- For expenses related to Task 1: they shall be compensated on an all-inclusive fixed-rate allowance equal to EUR xxx (xxx Euros).
- For expenses related to Task 2: they shall be compensated on an all-inclusive fixed-rate allowance equal to 7% of the respective fee referred to under Article I.3.4.
- In case of a specific request from the GSA, involving the completion of tasks in any other location, the Contractor shall refer to the mission rules laid down in Annex V as to reimbursement of travel expenses.

## **ARTICLE I.4 – PAYMENTS AND IMPLEMENTATION OF THE CONTRACT**

### **I.4.1 Single framework contract**

Within five (5) working days of a request for services being sent by the GSA to the Contractor, the GSA shall receive a specific tender back, duly signed and dated.

Within five (5) working days of a specific contract being sent by the GSA to the Contractor, the GSA shall receive it back, duly signed and dated.

The period allowed for the execution of the tasks shall start to run on the date indicated in the specific contract.

### **I.4.2 Monthly interim payment**

The Contractor shall submit an admissible invoice, indicating the reference number of the Contract and of the specific contract to which it refers, for a monthly interim payment corresponding to the tasks referred to in the relevant specific contract, which are effectively performed during the invoiced month.

Invoices for monthly interim payment shall be admissible if accompanied by statements of reimbursable expenses in accordance with Article II.18, if applicable, and all the following deliverables:

- A monthly activity report ("progress report") describing the work accomplished as well as the number of days/hours performed during that month under each task as described in point I.1.5;
- The relevant invoice, indicating the reference number of the Contract.

The GSA shall have twenty (20) days from receipt to approve or reject the progress report, and the Contractor shall have ten (10) days in which to submit additional information or a new progress report.

Provided the progress report has been approved, the GSA shall have thirty (30) days from the date of receipt of the relevant invoice<sup>3</sup> to pay a monthly interim payment

### **I.4.3 Payment of the balance**

<sup>3</sup> The invoice will be deemed to have been received by the GSA on the date on which it is registered by the department responsible indicated in Article I.6.

Within sixty (60) days of completion of the tasks referred to in each specific contract, the Contractor shall submit an admissible invoice, indicating the reference number of the Contract and of the specific contract to which it refers, for payment of the balance.

The invoice shall be admissible if accompanied by statements of reimbursable expenses in accordance with Article II.18, if applicable, and all the following deliverables:

- A final activity report ("final progress report") describing the work accomplished as well as the number of days/hours performed during the relevant month under each task as described in point I.1.3;
- The relevant invoice, indicating the reference number of the Contract.

The GSA shall have twenty (20) days from receipt to approve or reject the final progress report, and the Contractor shall have ten (10) days in which to submit additional information or a new final progress report.]

Provided the final progress report has been approved, the GSA shall have thirty (30) days from the date of receipt of the relevant invoice<sup>4</sup> to pay the balance.

#### **ARTICLE I.5 – BANK ACCOUNT**

Payments shall be made to the Contractor's bank account denominated in EUR, identified as follows:

Name of bank:  
 Address of branch in full:  
 Exact designation of account holder:  
 Full account number including codes:  
 [IBAN<sup>5</sup> code:]

#### **ARTICLE I.6 – GENERAL ADMINISTRATIVE PROVISIONS**

Any communication relating to the Contract or to its implementation shall be made in writing in paper or electronic format and shall bear the Contract and specific contract numbers. Ordinary mail shall be deemed to have been received by the GSA on the date on which it is registered by the department responsible indicated below.

Electronic communication must be confirmed by paper communication when requested by any of the parties. The parties agree that paper communication can be replaced by electronic communication with electronic signature.

Communications shall be sent to the following addresses<sup>6</sup>:

GSA:

European GNSS Agency  
 Head of Legal Department  
 Rue de la Loi, 56  
 B-1049 Bruxelles  
 Belgium

Contractor:

<sup>4</sup> The invoice will be deemed to have been received by the GSA on the date on which it is registered by the department responsible indicated in Article I.6.

<sup>5</sup> BIC or SWIFT code for countries with no IBAN code.

<sup>6</sup> Fax number and e-mail accounts may be added. If an e-mail account is given, incoming e-mails should be redirected if the account holder is absent and a clause should be added specifying what is considered to be the reference date of the electronic communication (date of sending, receiving or opening).

Mr/Mrs/Ms [complete]  
[Function]  
[Company name]  
[Official address in full]

## **ARTICLE I.7 – APPLICABLE LAW AND SETTLEMENT OF DISPUTES**

- I.7.1** The Contract shall be governed by Union law, complemented, where necessary, by the national substantive law of Belgium.
- I.7.2** Any dispute between the parties resulting from the interpretation or application of the Contract which cannot be settled amicably shall be brought before the courts of Brussels, Belgium.

## **ARTICLE I.8 – DATA PROTECTION**

Any personal data included in the Contract shall be processed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Union institutions and bodies and on the free movement of such data. Such data shall be processed solely for the purposes of the performance, management and monitoring of the Contract by the GSA Data Controller without prejudice to possible transmission to the bodies charged with monitoring or inspection task in application of Union law.

## **ARTICLE I.9 - USE OF THE RESULTS**

### **I.9.1 Modes of exploitation**

All studies/analysis/elaborations/thesis/materials/reports, database format and data, methods of creation, as applicable, produced within this Contract and for which the rights vest in the GSA and thereby the GSA has acquired the ownership in accordance with Article II.10 may be used in the following way:

- i) distribution:
  - publishing in paper copies
  - publishing in electronic form as downloadable/non-downloadable file
  - making available on internet
  - broadcasting
  - public presentation or display
  - communication through a press information services,
  - inclusion in widely accessible databases or indexes
  - in any form and by any method existing at this date and in the future
  - giving access on individual requests without right to reproduce or exploit, as provided for by Regulation 1049/2001 regarding public access to European Parliament, Council and Commission documents
- ii) storage:
  - in paper format
  - in electronic format
  - in original format (sculpture, maquette etc.)
- iii) archiving in line with the applicable document management rules<sup>8</sup>
- iv) modifications made by the GSA or by a third party<sup>9</sup>:

---

<sup>8</sup> See Decision 2002/47/EC on document management, Decision 2004/563/EC on electronic and digitised documents and the Implementing Rules SEC(2009) 1643: [http://ec.europa.eu/transparency/archival\\_policy/legal\\_basis\\_en.htm](http://ec.europa.eu/transparency/archival_policy/legal_basis_en.htm) In case of Contracting Authority different from the GSA the legal base may be different.

<sup>9</sup> In certain instances, the consent of the creator might be required.



- shortening
  - making a summary
  - modification of the content
  - technical changes to the content:
    - necessary correction of technical errors
    - adding new parts or functionalities
    - changing functionalities
    - providing third parties with additional information concerning the result (e.g. source code)
  - addition of new elements, paragraphs titles, leads, bolds, legend, table of content, summary, graphics, subtitles, sound, etc.,
  - preparation in audio form, preparation as a presentation, animation, pictograms story, slide-show, public presentation etc.
  - extracting a part or dividing into parts
  - use of a concept or preparation of a derivate work
  - digitisation or converting the format for storage or usage purposes
  - translate, subtitle, dub
- v) language versions:
- working languages of GSA
  - official languages of EU
  - languages used within EU
  - languages of candidate countries
  - [*other languages*]
- vi) use for own purposes:
- making available to the staff of the GSA
  - making available to the persons and entities working for the GSA or cooperating with it, including: contractors, subcontractors whether legal or natural persons, EU-institutions, agencies and bodies, Member States institutions
  - installing, uploading, processing
  - arranging, compiling, combining, retrieving
  - making a copy, reproducing
- vii) allow use of results by third parties<sup>11</sup>:
- for commercial or non commercial purposes,
  - against payment, without payment or against fulfilment of other conditions
  - assignment in full or in part
  - giving a licence
  - for a particular period or unlimited in time

This list may be further specified in the Specific Contract.

Where the GSA becomes aware that scope of modifications exceeds the scope envisaged in the Contract the creator shall be consulted. The creator will be obliged to provide his response within two weeks. He shall provide his agreement including any suggestions of modifications free of charge. The creator may refuse the intended modification only when it may harm his honour, reputation or distort integrity of the work.

### **1.9.2 Pre-existing rights, intermediaries, creators' rights**

Where industrial and intellectual property rights, including rights of ownership and use of the Contractor and third parties, exist prior to the Contract being entered into, ("pre-existing rights") the Contractor shall establish a list which

---

<sup>11</sup> In principle all results produced within the project should be publicly available (see Commission Decision 7 April 2006 on the re-use of Commission Information (<http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2006:107:0038:0041:EN:PDF>) nevertheless before inserting and using this option you should make sure that result will finally be fully owned by the GSA).

shall specify all pre-existing rights and disclose it to the GSA at the latest when delivering a final result.

All pre-existing rights to delivered results shall vest in the GSA and thereby under the terms of the Contract be effectively transferred to the GSA, as provided for in Article I.9.1.

The Contractor shall present relevant and exhaustive proofs of acquiring all necessary rights together with delivery of the final report at the latest. The latter should be fulfilled by presentation of the contractors', all subcontractors' intermediating in the transfer of rights and creators' statements prepared in accordance with the following information and documents:

- Name and version number of the software product
- Title of the work, date of publishing, date of creation, place of publication, address of publication on internet, number, volume and other information allowing to identify origin easily
- Full identity of the author, developer, creator, translator, data entry person, graphic designer, publisher, editor, photographer
- Copy of the licence to use the product or reference to it
- Agreement transferring the right to the product to the Contractor
- Text of the disclaimer notice

In case parts of the results were created by employees of the Contractor, documentary evidence shall be provided as to how the creators' or authors' rights were transferred to the Contractor, i.e. a copy of the relevant agreement or extract from the employment contract should be provided<sup>13</sup>.

### **I.9.3 Partial vesting of rights (pre-existing or not pre-existing)<sup>14</sup>**

In case the partial vesting of particular rights to the results was envisaged in the tender specification and the offer, the Contractor shall list precisely at the moment of delivery of the final report at the latest all materials, information, IT tools, methodology and any other results or parts of the result to which third persons have rights, even if originally owned by the Contractor, or for which the right is not to be unconditionally given to the GSA. For every listed item the Contractor shall describe precisely the scope of pre-existing rights and not pre-existing rights and the scope and the way, direct or indirect, of the partial vesting and thereby the effective transfer of rights to the Union.

The information obligation refers also to the intention of using any listed item referred to in the first paragraph for which the rights are already entirely or partially owned by the GSA.<sup>15</sup> This obligation is in addition to the duty to disclose pre-existing rights referred in Article I.9.2.

## **ARTICLE I.10 – TERMINATION BY EITHER CONTRACTING PARTY**

Either contracting party may, of its own volition and without being required to pay compensation, terminate the Contract by serving one month formal prior notice. Should the GSA terminate the Contract, the Contractor shall only be entitled to

<sup>13</sup> All free-lance, self-employed individuals are to be treated as subcontractors and should fill in annex A2 individually, contrary to employees for whom extract from standard work contract can be presented in general.

<sup>14</sup> See option 2 in Annex A1.

<sup>15</sup> This right may refer to databases (structure, software or data), extensive quote of other texts, data to be used for analysis, tables, graphs, photographs, sound or music, working methods, software (module programmes, operating platforms, programming languages, system architectures, web pages, graphics of the web pages, portal systems).

payment corresponding to the part-performance of the services ordered before the termination date. Article II.14.4 applies accordingly.

**ARTICLE I.11 – CONTRACT CONCLUDED DURING STANDSTILL PERIOD**

In case this Contract was signed by both the GSA and the Contractor before the expiry of 14 calendar days from the day after simultaneous dispatch of information about the award decisions and decisions to reject, this Contract shall be null and void.

This article is not applicable for contracts not covered by Directive 2004/18/EC and in cases indicated in Article 158a(2) of the rules for the implementation of the Financial Regulation (Regulation No 2342/2002).

**ARTICLE I.12 – INTER-INSTITUTIONAL CONTRACT**

Not applicable.

**[ARTICLE I.13 – OTHER SPECIAL CONDITIONS<sup>16</sup>]**

*[Other special conditions may be envisaged especially for Articles II.12 on liquidated damages, II.14.1 (i) on termination and II.2 on liability (if a risk analysis shows that the liability should be set at lower level, higher level or even unlimited level)]*

**SIGNATURES**

For the Contractor,  
[Company  
name/forename/surname/function]

For the GSA,  
Carlo des Dorides, Executive Director

signature[s]: \_\_\_\_\_

signature[s]: \_\_\_\_\_

Done at [Brussels], [date]

Done at [Brussels], [date]

In duplicate in English.

---

<sup>16</sup> To be completed by the department responsible, where appropriate. Unit D2, responsible for contracts in DG BUDGET, may be consulted in advance for any change to the model standard contract.

**ANNEX I****II – GENERAL CONDITIONS FOR SERVICE FRAMEWORK CONTRACTS****ARTICLE II. 1 – PERFORMANCE OF THE CONTRACT**

- II.1.1** The Contractor shall perform the Contract to the highest professional standards. The Contractor shall have sole responsibility for complying with any legal obligations incumbent on him, notably those resulting from employment, tax and social legislation.
- II.1.2** The Contractor shall have sole responsibility for taking the necessary steps to obtain any permit or licence required for performance of the Contract under the laws and regulations in force at the place where the tasks assigned to him are to be executed.
- II.1.3** Without prejudice to Article II.3 any reference made to the Contractor's staff in the Contract shall relate exclusively to individuals involved in the performance of the Contract.
- II.1.4** The Contractor must ensure that any staff performing the Contract has the professional qualifications and experience required for the execution of the tasks assigned to him.
- II.1.5** The Contractor shall neither represent the GSA nor behave in any way that would give such an impression. The Contractor shall inform third parties that he does not belong to the European public service.
- II.1.6** The Contractor shall have sole responsibility for the staff who execute the tasks assigned to him.

The Contractor shall make provision for the following employment or service relationships with his staff:

- staff executing the tasks assigned to the Contractor may not be given orders direct by the GSA;
  - the GSA may not under any circumstances be considered to be the staff's employer and the said staff shall undertake not to invoke in respect of the GSA any right arising from the contractual relationship between the GSA and the Contractor.
- II.1.7** In the event of disruption resulting from the action of a member of the Contractor's staff working on GSA premises or in the event of the expertise of a member of the Contractor's staff failing to correspond to the profile required by the Contract, the Contractor shall replace him without delay. The GSA shall have the right to request the replacement of any such member of staff, stating its reasons for so doing. Replacement staff must have the necessary qualifications and be capable of performing the Contract under the same contractual conditions. The Contractor shall be responsible for any delay in the execution of the tasks assigned to him resulting from the replacement of staff.
- II.1.8** Should any unforeseen event, action or omission directly or indirectly hamper execution of the tasks, either partially or totally, the Contractor shall immediately and at his own initiative record it and report it to the GSA. The report shall include a description of the problem and an indication of the date on which it started and of the remedial action taken by the Contractor to ensure full compliance with his obligations under the Contract. In such event the Contractor shall give priority to solving the problem rather than determining liability.
- II.1.9** Should the Contractor fail to perform his obligations under the Contract, the GSA may - without prejudice to its right to terminate the Contract - reduce or recover payments in proportion to the scale of the failure. In addition, the GSA

may claim compensation or impose liquidated damages provided for in Article II.12.

## **ARTICLE II. 2 – LIABILITY**

- II.2.1** The GSA shall not be liable for damage sustained by the Contractor in performance of the Contract except in the event of wilful misconduct or gross negligence on the part of the GSA.
- II.2.2** The Contractor shall be liable for any loss or damage sustained by the GSA in performance of the Contract, including in the event of subcontracting under Article II.6 but only up to three times the total amount of the Contract. Nevertheless, if the damage or loss is caused by the gross negligence or wilful misconduct of the Contractor or by its employees, the Contractor shall remain liable without any limitation as to the amount of the damage or loss.
- II.2.3** The Contractor shall provide compensation in the event of any action, claim or proceeding brought against the GSA by a third party as a result of damage caused by the Contractor in performance of the Contract.
- II.2.4** In the event of any action brought by a third party against the GSA in connection with performance of the Contract, the Contractor shall assist the GSA. Expenditure incurred by the Contractor to this end may be borne by the GSA.
- II.2.5** The Contractor shall take out insurance against risks and damage relating to performance of the Contract if required by the relevant applicable legislation. He shall take out supplementary insurance as reasonably required by standard practice in the industry. A copy of all the relevant insurance contracts shall be sent to the GSA should it so request.

## **ARTICLE II. 3 - CONFLICT OF INTERESTS**

- II.3.1** The Contractor shall take all necessary measures to prevent any situation that could compromise the impartial and objective performance of the Contract. Such conflict of interests could arise in particular as a result of economic interest, political or national affinity, family or emotional ties, or any other relevant connection or shared interest. Any conflict of interests which could arise during performance of the Contract must be notified to the GSA in writing without delay. In the event of such conflict, the Contractor shall immediately take all necessary steps to resolve it.

The GSA reserves the right to verify that such measures are adequate and may require additional measures to be taken, if necessary, within a time limit which it shall set. The Contractor shall ensure that his staff, board and directors are not placed in a situation which could give rise to conflict of interests. Without prejudice to Article II.1 the Contractor shall replace, immediately and without compensation from the GSA, any member of his staff exposed to such a situation.

- II.3.2** The Contractor shall abstain from any contact likely to compromise his independence.

- II.3.3** The Contractor declares:

- that he has not made and will not make any offer of any type whatsoever from which an unjustified advantage can be derived under the Contract,
- that he has not granted and will not grant, has not sought and will not seek, has not attempted and will not attempt to obtain, and has not

accepted and will not accept, any advantage, financial or in kind, to or from any party whatsoever, where such advantage constitutes an illegal practice or involves corruption, either directly or indirectly, inasmuch as it is an incentive or reward relating to performance of the Contract.

**II.3.4** The Contractor shall pass on all the relevant obligations in writing to his staff, board, and directors as well as to third parties involved in performance of the Contract. A copy of the instructions given and the undertakings made in this respect shall be sent to GSA should it so request.

#### **ARTICLE II.4 – CONFIDENTIALITY**

**II.4.1.** The Contractor undertakes to treat in the strictest confidence and not make use of or divulge to third parties any information or documents which are linked to performance of the Contract. The Contractor shall continue to be bound by this undertaking after completion of the tasks.

**II.4.2.** The Contractor shall obtain from each member of his staff, board and directors an undertaking that they will respect the confidentiality of any information which is linked, directly or indirectly, to execution of the tasks and that they will not divulge to third parties or use for their own benefit or that of any third party any document or information not available publicly, even after completion of the tasks.

#### **ARTICLE II.5 - DATA PROTECTION**

**II.5.1** The Contractor shall have the right of access to his/her personal data and the right to rectify any such data. Should the Contractor have any queries concerning the processing of his/her personal data, s/he shall address them to the entity acting as data controller provided for in Article I.8.

**II.5.2** The Contractor shall have right of recourse at any time to the European Data Protection Supervisor.

**II.5.3** Where the Contract requires the processing of personal data by the Contractor, the Contractor may act only under the supervision of the data controller, in particular with regard to the purposes of the processing, the categories of data which may be processed, the recipients of the data, and the means by which the data subject may exercise his/her rights.

**II.5.4** The Contractor shall limit access to the data to the staff strictly necessary for the performance, management and monitoring of the Contract.

**II.5.5** The Contractor undertakes to adopt appropriate technical and organisational security measures having regard to the risks inherent in the processing and to the nature of the personal data concerned in order to:

- a) prevent any unauthorised person from having access to computer systems processing personal data, and especially:
  - aa) unauthorised reading, copying, alteration or removal of storage media;
  - ab) unauthorised data input as well as any unauthorised disclosure, alteration or erasure of stored personal data;
  - ac) unauthorised use of data-processing systems by means of data transmission facilities;
- b) ensure that authorised users of a data-processing system can access only the personal data to which their access right refers;
- c) record which personal data have been communicated, when and to whom;

- d) ensure that personal data being processed on behalf of third parties can be processed only in the manner prescribed by the contracting institution or body;
- e) ensure that, during communication of personal data and transport of storage media, the data cannot be read, copied or erased without authorisation;
- f) design its organisational structure in such a way that it meets data protection requirements.

## **ARTICLE II. 6 – SUBCONTRACTING**

- II.6.1** The Contractor shall not subcontract without prior written authorisation from the GSA nor cause the Contract to be performed in fact by third parties.
- II.6.2** Even where the GSA authorises the Contractor to subcontract to third parties, he shall none the less remain bound by his obligations to the GSA under the Contract and shall bear exclusive liability for proper performance of the Contract.
- II.6.3** The Contractor shall make sure that the subcontract does not affect rights and guarantees to which the GSA is entitled by virtue of the Contract, notably Article II.20.

## **ARTICLE II. 7 – AMENDMENTS**

Any amendment to the Contract shall be the subject of a written agreement concluded by the contracting parties before fulfilment of all their contractual obligations. An oral agreement shall not be binding on the contracting parties. An order form or a specific contract may not be deemed to constitute an amendment to the Contract.

## **ARTICLE II. 8 – ASSIGNMENT**

- II.8.1** The Contractor shall not assign the rights and obligations arising from the Contract, in whole or in part, without prior written authorisation from the GSA.
- II.8.2** In the absence of such authorisation, or in the event of failure to observe the terms thereof, assignment by the Contractor shall not be enforceable against and shall have no effect on the GSA.

## **ARTICLE II.9 - USE, DISTRIBUTION AND PUBLICATION OF INFORMATION ABOUT THE CONTRACT**

- II.9.1** The Contractor shall authorise the GSA to process, use, distribute and publish, for whatever purpose, by whatever means and on whatever medium, any data contained in the Contract, in particular the identity of the Contractor, the subject matter, the duration and the amount paid. Where personal data is concerned, Article I.8 and II.5 shall apply.
- II.9.2** Unless otherwise provided by the Special Conditions, the GSA shall not be required to distribute or publish documents or information supplied in performance of the Contract. If it decides not to distribute or publish the documents or information supplied, the Contractor may not have them

distributed or published elsewhere without prior written authorisation from the GSA.

- II.9.3** Any distribution or publication of information relating to the Contract or use of outcome of the implementation of the Contract and provided as such by the Contractor shall require prior written authorisation from the GSA and, if so requested, shall mention that it was produced within a contract with the GSA. It shall state that the opinions expressed are those of the Contractor only and do not represent the GSA's official position.
- II.9.4** The use of information obtained by the Contractor in the course of the Contract for purposes other than its performance shall be forbidden, unless the GSA has specifically given prior written authorisation to the contrary.

## **ARTICLE II. 10 – OWNERSHIP OF THE RESULTS - INTELLECTUAL AND INDUSTRIAL PROPERTY**

- II.10.1** A result shall be any outcome of the implementation of the Contract and provided as such by the Contractor.

A creator shall be any person who contributed to production of the result.

Pre-existing intellectual property rights, sometimes referred to as background technology, are any industrial and intellectual property rights which exist prior to the contract being entered into and include rights of ownership and use of the Contractor, the GSA and any third parties ("pre-existing rights").

It shall be a material term of the Contract and of the essence of the Contract that Contractors shall be under a duty to provide a list of pre-existing rights at the date of delivery of the final result the latest.

- II.10.2** The ownership of all the results or rights thereon as listed in the tender specification and the tender attached to the contract, including copyright and other intellectual or industrial property rights, and all technological solutions and information embodied therein, obtained in performance of the Contract, shall be irrevocably and fully vested to the GSA, which may use them as described in the Contract. All the rights shall be vested on the GSA from the moment the results were delivered and accepted by the GSA.

For the avoidance of doubt and where applicable, any such vesting of rights is also deemed to constitute an effective transfer of the rights from the Contractor to the GSA.

The payment of the fee under Article I.3 is deemed to include all forms of use by the GSA of the results as set out in Article I.9.

The above vesting of rights in the GSA under this Contract covers all territories worldwide and is valid for the whole duration of intellectual property rights protection.

- II.10.3** Any intermediary sub-result, raw data, intermediary analysis made available to the GSA by the Contractor cannot be used by the GSA without written consent of the Contractor, unless the tender specification explicitly provides for it to be treated as self-contained result.
- II.10.4** The Contractor retains all right, title and interest in pre-existing rights not fully vested into the GSA in line with Article I.9.2, and hereby grants the GSA for the requested period a licence to use the pre-existing rights to the extent necessary to use the delivered results.
- II.10.5** The Contractor shall ensure that delivered results are free of rights or claims from third parties including in relation to pre-existing rights, for any use envisaged by the GSA. This does not concern the moral rights of natural persons and rights referred to in Article II.10.4.



- II.10.6** The Contractor shall clearly point out all quotations of existing textual works made by the Contractor. The complete reference should include as appropriate: name of the author, title of the work, date of publishing, date of creation, place of publication, address of publication on internet, number, volume and other information allowing to identify the origin easily.
- II.10.7** The Contractor shall clearly indicate all parts to which there are pre-existing rights and all parts of the result originating from external sources: parts of other documents, images, graphs, tables, data, software, technical inventions, know-how etc. (delivered in paper, electronic or other form).
- For non-textual results or results provided in electronic form only, the description, instruction or information document shall list all parts coming from external sources: IT development tools, routines, subroutines and/or other programs ("background technology"), concepts, designs, installations or pieces of art, data, source or background materials or any other parts of external origin.
- II.10.8** If the GSA so requires, the Contractor shall provide proof of ownership or rights to use all necessary rights to the materials referred to in Article II.10.7.
- II.10.9.** By delivering the results the Contractor confirms that the creators undertake not to oppose their names being recalled when the results are presented to the public and confirms that the results can be divulged.
- The Contractor shall possess all relevant agreements of the creator and provide proof by way of documentary evidence.
- II.10.10.** By delivering the results the Contractor warrants that the above transfer of rights does not violate any law or infringe any rights of others and that he possesses the relevant rights or powers to execute the transfer. He also warrants that he has paid or has verified payment of all fees including fees to collecting societies, related to the final results.
- II.10.11.** The Contractor shall indemnify and hold the GSA harmless for all damages and cost incurred due to any claim brought by any third party including creators and intermediaries for any alleged breach of any intellectual, industrial or other property right based on the GSA's use of the works and in relation to which the Contractor has granted the GSA user rights.

## **ARTICLE II. 11 – FORCE MAJEURE**

- II.11.1** Force majeure shall mean any unforeseeable and exceptional situation or event beyond the control of the contracting parties which prevents either of them from performing any of their obligations under the Contract, was not due to error or negligence on their part or on the part of a subcontractor, and could not have been avoided by the exercise of due diligence. Defects in equipment or material or delays in making it available, labour disputes, strikes or financial problems cannot be invoked as force majeure unless they stem directly from a relevant case of force majeure.
- II.11.2** Without prejudice to Article II.1.8, if either contracting party is faced with force majeure, it shall notify the other party without delay by registered letter with acknowledgment of receipt or equivalent, stating the nature, likely duration and foreseeable effects.
- II.11.3** Neither contracting party shall be held in breach of its contractual obligations if it has been prevented from performing them by force majeure. Where the Contractor is unable to perform his contractual obligations owing to force majeure, he shall have the right to remuneration only for tasks actually executed.

**II.11.4** The contracting parties shall take the necessary measures to reduce damage to a minimum.

#### **ARTICLE II. 12 – LIQUIDATED DAMAGES**

Should the Contractor fail to perform his obligations under the Contract within the time limits set by the Contract, then, without prejudice to the Contractor's actual or potential liability incurred in relation to the Contract or to the GSA's right to terminate the Contract, the GSA may decide to impose liquidated damages per calendar day of delay according to the following formula:  $0.3 \times (V/d)$

$V$  is the amount(s) specified in Article I.3.4;

$d$  is the duration specified in the relevant specific contract expressed in days

The Contractor may submit arguments against this decision within thirty days of notification by registered letter with acknowledgement of receipt or equivalent. In the absence of reaction on his part or of written withdrawal by the GSA within thirty days of the receipt of such arguments, the decision imposing the liquidated damages shall become enforceable. These liquidated damages shall not be imposed where there is provision for interest for late completion. The GSA and the Contractor expressly acknowledge and agree that any sums payable under this article are in the nature of liquidated damages and not penalties, and represent a reasonable estimate of fair compensation for the losses that may be reasonably anticipated from such failure to perform obligations.

#### **ARTICLE II. 13 – SUSPENSION OF THE CONTRACT**

Without prejudice to the GSA's right to terminate the Contract, the GSA may at any time and for any reason suspend execution of the Contract, pending order forms or specific contracts or any part thereof. Suspension shall take effect on the day the Contractor receives notification by registered letter with acknowledgment of receipt or equivalent, or at a later date where the notification so provides. The GSA shall as soon as possible give notice to the Contractor to resume the service suspended or inform that it is proceeding with contract termination. The Contractor shall not be entitled to claim compensation on account of suspension of the Contract, of the order forms or specific contracts, or of part thereof.

#### **ARTICLE II. 14 – TERMINATION BY THE GSA**

**II.14.1** The GSA may terminate the Contract, or/and a specific contract, in the following circumstances:

- (a) where the Contractor is being wound up, is having his affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
- (b) where the Contractor has not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which he is established or with those of the country applicable to the Contract or those of the country where the Contract is to be performed;
- (c) where the GSA has evidence or seriously suspects the Contractor or any related entity or person, of professional misconduct;

- (d) where the GSA has evidence or seriously suspects the Contractor or any related entity or person, of fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the Union's financial interests;
- (e) where the GSA has evidence or seriously suspects the Contractor or any related entity or person, of substantial errors, irregularities or fraud in the award procedure or the performance of the Contract;
- (f) where the Contractor is in breach of his obligations under Article II.3;
- (g) where the Contractor was guilty of misrepresentation in supplying the information required by the GSA as a condition of participation in the Contract procedure or failed to supply this information;
- (h) where a change in the Contractor's legal, financial, technical or organisational situation could, in the GSA's opinion, have a significant effect on the performance of the Contract;
- (i) where execution of the tasks under a pending order form or a specific contract has not actually commenced within fifteen days<sup>17</sup> of the date foreseen, and the new date proposed, if any, is considered unacceptable by the GSA;
- (j) where the Contractor is unable, through his own fault, to obtain any permit or licence required for performance of the Contract;
- (k) where the Contractor, after receiving formal notice in writing to comply, specifying the nature of the alleged failure, and after being given the opportunity to remedy the failure within a reasonable period following receipt of the formal notice, remains in serious breach of his contractual obligations;
- (l) when due to the termination of the contract with one or more of the contractors there is no minimum required competition within the multiple framework contract with reopening of competition.

**II.14.2** In case of force majeure, notified in accordance with Article II.11, either contracting party may terminate the Contract, where performance thereof cannot be ensured for a period corresponding to at least to one fifth of the period laid down in Article I.2.3.

**II.14.3** Prior to termination under point c), d), e), h) or k), the Contractor shall be given the opportunity to submit his observations.

Termination shall take effect on the date on which a registered letter with acknowledgment of receipt terminating the Contract is received by the Contractor, or on any other date indicated in the letter of termination.

#### **II.14.4 Consequences of termination**

In the event of the GSA terminating the Contract or a pending order form or specific contract in accordance with this article and without prejudice to any other measures provided for in the Contract, the Contractor shall waive any claim for consequential damages, including any loss of anticipated profits for uncompleted service. On receipt of the letter terminating the Contract, the Contractor shall take all appropriate measures to minimise costs, prevent damage, and cancel or reduce his commitments. He shall draw up the documents required by the Special Conditions for the tasks executed up to the date on which termination takes effect, within a period not exceeding sixty days from that date.

The GSA may claim compensation for any damage suffered and recover any sums paid to the Contractor under the Contract.

---

<sup>17</sup> This period can be modified in the Special Conditions depending on the nature of the contract.

On termination the GSA may engage any other contractor to execute or complete the services. The GSA shall be entitled to claim from the Contractor all extra costs incurred in doing so, without prejudice to any other rights or guarantees it has under the Contract.

#### **ARTICLE II.14a – SUBSTANTIAL ERRORS, IRREGULARITIES AND FRAUD ATTRIBUTABLE TO THE CONTRACTOR**

Where, after the award of the Contract, the award procedure or the performance of the Contract prove to have been subject to substantial errors, irregularities or fraud, and where such errors, irregularities or fraud are attributable to the Contractor, the GSA may refuse to make payments, may recover amounts already paid or may terminate all the contracts concluded with the Contractor, in proportion to the seriousness of the errors, irregularities or fraud.

#### **ARTICLE II. 15 – INVOICING AND PAYMENTS**

##### **II.15.1 Pre-financing guarantee**

Where required by Article I.4 or if the pre-financing is over €150 000, the Contractor shall provide a financial guarantee in the form of a bank guarantee or equivalent supplied by a bank or an authorised financial institution (guarantor) to cover pre-financing under the Contract. Such guarantee may be replaced by a joint and several guarantee by a third party.

The guarantor shall pay to the GSA at its request an amount corresponding to payments made by it to the Contractor which have not yet been covered by equivalent service rendered on his part.

The guarantor shall stand as first-call guarantor and shall not require the GSA to have recourse against the principal debtor (the Contractor).

The guarantee shall specify that it enters into force at the latest on the date on which the Contractor receives the pre-financing. The guarantee shall be retained until the pre-financing has been cleared against interim payments or payment of the balance to the Contractor. It shall be released the following month or, in the absence of such clearing, four months after the issuance of a corresponding debit note. The cost of providing such guarantee shall be borne by the Contractor.

##### **II.15.2 Interim payments and payment of the balance**

Payments shall be executed only if the Contractor has fulfilled all his contractual obligations by the date on which the invoice is submitted.

At the end of each of the periods indicated in Annex II the Contractor shall submit to the GSA an invoice accompanied by the documents provided for in the Special Conditions.

If providing a progress report is a condition for payment, on receipt the GSA shall have the period of time indicated in the Special Conditions in which:

- to approve it, with or without comments or reservations, or suspend such period and request additional information; or
- to reject it and request a new progress report.

Approval of the progress report shall not imply recognition of the regularity or of the authenticity, completeness and correctness of the declarations and information it contains.

Where the GSA requests a new progress report because the one previously submitted has been rejected, this shall be submitted within the period of time indicated in the

Special Conditions. The new progress report shall likewise be subject to the above provisions.

### **II.15.3. Payment currency and costs**

Payments are executed in the currency of the contract.

Costs of the transfer are borne in the following way:

- costs of dispatch charged by the bank of the GSA are borne by the GSA,
- cost of receipt charged by the bank of the Contractor are borne by the Contractor,
- all costs of repeated transfer caused by one of the parties are borne by the party who caused repetition of the transfer.

## **ARTICLE II. 16 – GENERAL PROVISIONS CONCERNING PAYMENTS**

**II.16.1** Payments shall be deemed to have been made on the date on which the GSA's account is debited.

**II.16.2** The payment periods referred to in Article I.4 may be suspended by the GSA at any time if it informs the Contractor that his invoice is not admissible, either because the amount is not due or because the necessary supporting documents have not been properly produced. The GSA may proceed with further verification, including an on-the-spot check, in order to ascertain, prior to payment, that the invoice is admissible.

The GSA shall notify the Contractor accordingly and set out the reasons for the suspension by registered letter with acknowledgment of receipt or equivalent. Suspension shall take effect from the date of dispatch of the letter. The remainder of the period referred to in Article I.4 shall begin to run again once the suspension has been lifted.

**II.16.3** In the event of late payment the Contractor shall be entitled to interest, provided the calculated interest exceeds EUR 200. In case interest does not exceed EUR 200, the Contractor may claim interest within two months of receiving the payment. Interest shall be calculated at the rate applied by the European Central Bank to its most recent main refinancing operations ("*the reference rate*") plus seven percentage points ("*the margin*"). The reference rate in force on the first day of the month in which the payment is due shall apply. Such interest rate is published in the C series of the Official Journal of the European Union. Interest shall be payable for the period elapsing from the calendar day following expiry of the time limit for payment up to the day of payment. Suspension of payment by the GSA may not be deemed to constitute late payment.

## **ARTICLE II. 17 – TAXATION**

**II.17.1** The Contractor shall have sole responsibility for compliance with the tax laws which apply to him. Failure to comply shall make the relevant invoices invalid.

**II.17.2** The Contractor recognises that the GSA is, as a rule, exempt from all taxes and duties, including value added tax (VAT), pursuant to the provisions of Articles 3 and 4 of the Protocol on the Privileges and Immunities of the European Union.

**II.17.3** The Contractor shall accordingly complete the necessary formalities with the relevant authorities to ensure that the goods and services required for

performance of the Contract are exempt from taxes and duties, including VAT.

**II.17.4** Invoices presented by the Contractor shall indicate his place of taxation for VAT purposes and shall specify separately the amounts not including VAT and the amounts including VAT.

## **ARTICLE II. 18 - REIMBURSEMENTS**

**II.18.1** Where provided by the Special Conditions or by Annex II, the GSA shall reimburse the expenses that are directly connected with execution of the tasks on production of original supporting documents, including receipts and used tickets.

**II.18.2** Travel and subsistence expenses shall be reimbursed, where appropriate, on the basis of the shortest itinerary.

**II.18.3** Travel expenses shall be reimbursed as follows:

- a) travel by air shall be reimbursed up to the maximum cost of an economy class ticket at the time of the reservation;
- b) travel by boat or rail shall be reimbursed up to the maximum cost of a first class ticket;
- c) travel by car shall be reimbursed at the rate of one first class rail ticket for the same journey and on the same day;
- d) travel outside Union territory shall be reimbursed under the general conditions stated above provided the GSA has given its prior written agreement.

**II.18.4** Subsistence expenses shall be reimbursed on the basis of a daily allowance as follows:

- a) for journeys of less than 200 km (return trip) no subsistence allowance shall be payable;
- b) daily subsistence allowance shall be payable only on receipt of a supporting document proving that the person concerned was present at the place of destination;
- c) daily subsistence allowance shall take the form of a flat-rate payment to cover all subsistence expenses, including accommodation, meals, local transport, insurance and sundries;
- d) daily subsistence allowance, where applicable, shall be reimbursed at the rate specified in Article I.3.

**II.18.5** The cost of shipment of equipment or unaccompanied luggage shall be reimbursed provided the GSA has given prior written authorisation.

**II.18.6** Conversion between the euro and another currency shall be made using the daily euro exchange rate published in the C series of the *Official Journal of the European Union* of the day on which the expense was made or, failing that, at the monthly accounting rate established by the GSA and published on its website.

**II.18.7** For the surplus, the rules set out in Annex V (Mission Rules) shall apply to the Contractor.

## **ARTICLE II. 19 – RECOVERY**

**II.19.1** If total payments made exceed the amount actually due or if recovery is justified in accordance with the terms of the Contract, the Contractor shall

reimburse the appropriate amount in euro on receipt of the debit note, in the manner and within the time limits set by the GSA.

- II.19.2** In the event of failure to pay by the deadline specified in the debit note, the sum due shall bear interest at the rate indicated in Article II.16.3. Interest shall be payable from the calendar day following the due date up to the calendar day on which the debt is repaid in full.
- II.19.3** The GSA may, after informing the Contractor, recover amounts established as certain, of a fixed amount and due by offsetting, in cases where the Contractor also has a claim on the Union or the European Atomic Energy Community that is certain, of a fixed amount and due. The GSA may also claim against the guarantee, where provided for.

## **ARTICLE II. 20 – CHECKS AND AUDITS**

- II.20.1** Pursuant to Article 142 of the Financial Regulation applicable to the general budget of the European Union, the Court of Auditors shall be empowered to audit the documents held by the natural or legal persons receiving payments from the budget of the Union from signature of the Contract up to five years after payment of the balance of the last implementation.
- II.20.2** The GSA or an outside body of its choice shall have the same rights as the Court of Auditors for the purpose of checks and audits limited to compliance with contractual obligations from signature of the Contract up to five years after payment of the balance of the last implementation.
- II.20.3** In addition, the European Anti-Fraud Office may carry out on-the-spot checks and inspections in accordance with Council Regulation (Euratom, EC) No 2185/96 and Parliament and Council Regulation (EC) No 1073/1999 from signature of the Contract up to five years after payment of the balance of the last implementation.

**ANNEX I****SPECIFIC CONTRACT No [complete]**  
implementing Framework Contract No GSA/NP/07/12

The European GNSS Agency (hereinafter referred to as "the GSA"), represented for the purposes of the signature of this contract by Mr Carlo des Dorides, Executive Director,

of the one part,

and

[official name in full]  
[official legal form]  
[statutory registration number]<sup>18</sup>  
[official address in full]  
[VAT registration number]

(hereinafter referred to as "the Contractor"), [represented for the purposes of the signature of this contract by [forename, surname and function,]]

of the other part,

HAVE AGREED

**ARTICLE III.1: SUBJECT**

**III.1.1** This specific contract implements Framework Contract No GSA/NP/07/12 signed by the GSA and the Contractor on [complete date] [and renewed on [complete date].

**III.1.2** The subject of this specific contract is [short description of subject]. [This specific contract relates to lot [complete] of the Framework Contract.]

**III.1.3** The Contractor undertakes, on the terms set out in the Framework Contract and in this specific contract and the annex[es] thereto, which form an integral part thereof, to perform the following tasks [:] [specified in Annex [complete].]

**ARTICLE III.2: DURATION**

**III.2.1** This specific contract shall enter into force [on the date on which it is signed by the last contracting party]<sup>19</sup> [on [insert date] if it has already been signed by both contracting parties].

**III.2.2** The duration of the execution of the tasks shall not exceed [complete] [days/months]. This period and all other periods specified in the Contract are calculated in calendar days. Execution of the tasks shall start from [the date of entry into force of this specific contract] [insert date]. The period of execution of the tasks may be extended only with the express written agreement of the parties before such period elapses.

<sup>18</sup> Delete if contractor is a body governed by public law. For natural persons, indicate the number of their identity card or, failing that, of their passport or equivalent.

<sup>19</sup> As a rule the GSA signs last. In this case, the Contractor should be duly informed of the date on which the specific contract enters into force.



**ARTICLE III.3: PRICE**

**III.3.1** The total price to be paid by the GSA under this specific contract shall be EUR [*amount in figures and in words*] covering all tasks executed.

This price also covers any fees payable to the Contractor in relation to the vesting of rights in the GSA and where applicable the transfer of rights to the GSA and any use of the results by the GSA.

**III.3.2** In addition to the price no reimbursable expenses are foreseen.

\*\*\*

[For Contractors established in Belgium, the provisions of this specific contract constitute a request for VAT exemption No 450, provided the Contractor includes the following statement in his invoice(s): "Exonération de la TVA, article 42, paragraphe 3.3 du code de la TVA (circulaire 2/1978)" or an equivalent statement in the Dutch or German language.]

**ARTICLE III.4: PERFORMANCE GUARANTEE**

Not applicable.

**ARTICLE III.5: USE OF RESULTS**

[Not applicable]

***[Insert details on the intended use of results linked to the specific request for service supplementing or replacing clause I.9 of the Special Conditions]***

**ANNEXES**

**Annex A1** - Statement of Contractor concerning right to delivered result

**Annex A2** - Statement of creator / intermediary in delivery

**Annex B** - Request for service

**Annex C** - Contractor's Specific Tender (no [*complete*]<sup>20</sup> of [*insert date*])

**SIGNATURES**

For the Contractor,  
[*Company*  
*name/forename/surname/function*]

For the GSA,  
[*forename/surname/function*]

signature[s]: \_\_\_\_\_

signature[s]: \_\_\_\_\_

Done at [Brussels], [date]

Done at [Brussels], [date]

In duplicate in English.

<sup>20</sup> Number to be inserted in case of competitive multiple framework contract.

**Annex [A1]****Statement of Contractor concerning right to delivered result*****[Option 1: general statement -low risk situations, e.g. limited use of the results]***

I, *[insert name of the authorised representative of the Contractor]* representing *[insert name of the Contractor]*, party to the Contract *[insert title and/or number of the contract]* warrants that the Contractor holds full right to the delivered *[insert title and/or description of result]* which is free of any claims, including claim of the creators who transferred all their rights and *[were fully paid]* *[will be paid as agreed within [complete] weeks from [delivery of this statement.] [receipt of confirmation of acceptance of the work].*

***[Option 2: detailed statement - higher risk situations, e.g. extensive use of the results]***

I, *[insert name of the authorised representative of the Contractor]* representing *[insert name of the Contractor]*, party to the contract *[insert title and/or number of the contract]* warrants that *[, except for [the parts listed in [complete by reference to the Tender Specification and/or offer]] [or list parts for which rights are not transferred],]*<sup>21</sup> the Contractor holds a right to the delivered *[insert title and/or description of result]* which is/are free of any claims of third parties.

Work was prepared by *[insert names of creators]* *[, except for [the parts listed in [complete by reference to the Tender Specification and/or offer]] [or list parts that pre-existed and for which rights are not transferred],]*<sup>22</sup> is *[original and]* free of rights of third persons. Creators transferred all their rights to the work (excluding moral rights of natural persons) to *[insert name of the entity that received rights from the creators]* *[through a contract of [insert date] [a relevant extract of which is] herewith attached.*

Creators *[received all their remuneration on [insert date]] [will receive all their remuneration as agreed within [complete] weeks from [delivery of this statement] [receipt of confirmation of acceptance of the work]. [The statement of the creators confirming payment is attached].*

Date, place, signature

<sup>21</sup> In case not all IP rights were fully transferred

<sup>22</sup> In case parts of the work pre-existed and belonged to third parties

## **Annex [A2]**

### **Statement of creator / intermediary in delivery**

of the [*title of the result*]  
within the Framework Contract number [*complete*]  
Specific Contract No [*complete*]

concluded between the GSA and [*name of the contractor(s)*]

I, [*insert name of the authorised representative of the intermediary*] representing [*insert name of the intermediary*] state that I am the right holder of: [*identify the relevant parts of the result*] [which I created] [for which I received rights from [*insert name*]].

I am aware of the above contract, especially Articles I.9, II.10 and point [*insert reference*] of the Request for Service and I confirm that I transferred all the relevant rights to [*insert name*].

I declare that [I received full remuneration.] [I agreed to receive remuneration by [*insert date*]].

[I also confirm that I do not oppose my name being recalled when the results are presented to the public and confirm that the results can be divulged.]<sup>23</sup>

Date, place, signature

---

<sup>23</sup> Necessary for creators